

Dkt. 1472/74275/JPW/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Olaf Michel
U.S. Serial No.	:	Not Yet Known (national stage of PCT International Application No. PCT/EP2003/012150)
Filed	:	Herewith
For	:	NASAL STICK FOR THE APPLICATION OF MEDICAMENTS AND SKIN CARE SUBSTANCES

1185 Avenue of the Americas  
New York, New York 10036  
April 22, 2005

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT

In order to ensure compliance with applicant's duty of disclosure under 37 C.F.R. §1.56 and §1.97(a)-(d), applicant directs the Examiner's attention to the following references which are listed on the attached Form PTO-1449 (**Exhibit A**). Copies of references 1-9 are attached hereto as **Exhibits 1-9**, respectively.

1. U.S. Patent No. 4,424,205, LeHann, et al., published January 3, 1984 (**Exhibit 1**);
2. U.S. Patent No. 4,396,615, Petrow, et al., published August 2, 1983 (**Exhibit 2**);
3. U.S. Patent No. 3,549,770, Herschler, et al., published December 22, 1970 (**Exhibit 3**);
4. U.S. Patent No. 5,318,961, Weintraub, et al., published June 7, 1994 (**Exhibit 4**);

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5. U.S. Patent No. 6,309,669 B1, Setterstrom, et al., published October 30, 2001 (**Exhibit 5**);
6. U.S. Patent No. 3,817,636, Ritzenhoff, published June 18, 1974 (**Exhibit 6**);
7. International Publication No. WO 92/04019 A1, Beecham Group PLC, published March 19, 1992 (**Exhibit 7**);
8. British Patent No. 1,397,095, Schering Aktiengesellschaft, published June 11, 1975 (**Exhibit 8**); and
9. PCT International Search Report dated February 23, 2004 issued by the International Searching Authority in connection with International Application No. PCT/EP2003/012150 (**Exhibit 9**).

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any fee is required, authorization is hereby given to charge the amount of such fee to Deposit Account No. 03-3125.

Respectfully submitted,



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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 1 of 2

**Complete if Known**

Application Number	<b>Not Yet Known</b>
Filing Date	<b>Herewith</b>
First Named Inventor	<b>Olaf Michel</b>
Art Unit	
Examiner Name	
Attorney Docket Number	<b>1472/74275/JPW/JW</b>

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	7	WO 92/04019 A1	03/19/1992	Beecham Group PLC		
	8	GB 1,397,095	06/11/1975	Schering Aktiengesellschaft		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Olaf Michael  
Dkt. 74275  
Exhibit A

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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